

Diva's RISK PROFILE

1. **What is your primary investment goal?**
 - a. Current income
 - b. Growth meeting or beating inflation
 - c. Maximum growth potential
2. **Approximately when will you need the money?**
 - a. 1-5 Years
 - b. 6-10 Years
 - c. 10+ Years
3. **Since I invest for the long term, the end result is all I am concerned with.**
 - a. I disagree, and expect fairly consistent performance throughout.
 - b. I can accept variability in total return, but do not expect any loss of capital along the way.
 - c. I can accept some down periods, as long as the trend is positive.
 - d. I totally disagree
4. **How much fluctuation can you accept in a single quarter?**
 - a. Very little, consistency of results is more important than superior performance.
 - b. A little, but I don't expect a decline for more than a few months in a row.
 - c. A few quarters of decline is of little concern, as long as I'm well positioned during strong market cycles.
 - d. Unimportant, I am not concerned about movement during the quarter.
5. **Beating the stock market over the economic cycle is my primary goal.**
 - a. I disagree
 - b. I prefer consistency over superior results.
 - c. Critical
6. **Which statement best describes your feelings toward choosing an investment?**
 - a. Preservation of capital is important. I select investments that have a low degree of volatility associated with them.
 - b. My Investments tend to favor stability, although I would consider diversifying with some investments that seek higher growth opportunities.
 - c. I prefer to diversify with a balanced mix of investments.
 - d. I am looking for growth, so I would include some higher-risk investments in my portfolio.
 - e. Long-term growth is critical, so most of my investments strive for growth opportunities at the cost of consistency.
7. **Which best describes your investment experience?**
 - a. I generally invest in CD's and Money Market instruments.
 - b. I have dabbled in mutual funds, including stock funds.
 - c. I have invested a fair amount of my money in stocks or stock mutual funds.
 - d. I have made investments in commodities, options, international stocks or limited partnerships.
8. **Below are scenarios depicting the best and worst cases for an investment of \$10,000.. If you know nothing else, which would you pick?**

Best	Worst
\$13,439	\$10,750
\$22,610	\$9,000
\$41,487	\$7,500
\$61,917	\$4,500

Name: _____
Date: _____

Victoria L. Woods-Registered Representative
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